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12 2004 PADE	rwork Reduction Act of 1995, no	U.S. is persons are required to respond to a col Application Number	Patent and Ti	rademark Office; I ormation unless it	PTO/SB/21 (09-04) through 07/31/2006. OMB 0651-0031 J.S. DEPARTMENT OF COMMERCE displays a valid OMB control number.	
TRANSMITTAL FORM		Filing Date First Named Inventor Art Unit Examiner Name	David R. S 2881			
	d correspondence after initial filing ages in This Submission	Attorney Docket Number	<del> </del>	TBD 11460-130		
		ENCLOSURES (Check all	that apply	<i>'</i> )		
Amendmen  Afte  Affic  Extension of  Express Ab  Information  Certified Co Document(state)  Reply to Mi Incomplete Rep	Attached  ot/Reply or Final davits/declaration(s) of Time Request pandonment Request Disclosure Statement	Drawing(s)  Licensing-related Papers  Petition Petition to Convert to a Provisional Application Power of Attorney, Revocation Change of Correspondence of Terminal Disclaimer  Request for Refund  CD, Number of CD(s)  Landscape Table on CI  Remarks	Address	Appea (Appea Propri	•	
	SIGNATU	JRE OF APPLICANT, ATTO	RNEY, C	OR AGENT	· ·	
Firm Name Perkins Smith & Cohen LLP  Signature  Printed name						
Date	John A. Hamilton  Date November 19, 2004			Reg. No. 48,946		
	this correspondence is being is first class mail in an envelow:	RTIFICATE OF TRANSMISS  In gracsimile transmitted to the USPT ope addressed to: Commissioner for	O or depos	LING sited with the Ur P.O. Box 1450,		

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

## **CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this date: November 19, 2004.

John/A. Hamilton Reg. No. 48,946

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): David R. Swenson

Application Serial No. 10/765,246 Group Art Unit: 2881 Filed: January 27, 2004 Examiner: TBD

Title: METHOD OF AND APPARATUS FOR MEASUREMENT AND CONTROL OF A

GAS CLUSTER ION BEAM

PERKINS SMITH & COHEN LLP One Beacon Street Boston, MA 02108 (617) 854-4000

To: Mail Stop Amendment

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

#### SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

This Information Disclosure Statement (Form PTO-1449) is submitted under 37 CFR 1.97(e)(1), including copies of the cited non-patent references. Each item of information contained in this information disclosure statement was cited in a communication from a foreign patent office (PCT Receiving Office/US) in a counterpart foreign application no more than three months prior to the filing of this information disclosure statement.

In view thereof, no fee is required. If the payment of a fee is deemed necessary, please charge such fee to Deposit Account No. 03-2410, Order No. 11460-130.

The following information is presented in the event that a call may be deemed desirable by the Examiner:

JOHN A. HAMILTON (617) 854-4000.

Respectfully submitted, David R. Swenson, Applicant

Dated: November 19, 2004

By:

John A. Hamilton

Reg. No. 48,946 Attorney for Applicant





## **FORM PTO-1449** U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY DOCKET NO.: 11460-130

APPLICATION SERIAL NO.: 10/765,246

**GROUP ART UNIT: 2881** 

APPLICANT: David R. Swenson

**EXAMINER: TBD** 

FILING DATE: January 27, 2004

**CONFIRMATION NO.: 9561** 

PRIORITY DATE: January 27, 2003

### **U.S. PATENT DOCUMENTS**

EXAMINER INITIAL	DOCUMENT NO.	DATE	NAME	CLASS	FILING DATE IF APPROPRIATE
	4,559,096	12/17/85	Friedman et al.	156/272.2	
	5,153,430	10/06/92	Gammel et al.	250/251	
	5,644,220	07/01/97	Urs et al.	324/71.3	
	6,060,718	05/09/00	Brailove et al.	250/505.1	

### **FOREIGN PATENT DOCUMENTS**

EXAMINER INITIAL	DOCUMENT NO.	DATE	NAME	CLASS/SUB- CLASS	TRANSL. YES	ATION NO

# **OTHER DOCUMENTS** (Including Author, Title, Date, Pertinent Pages, etc.)

Toyoda, N. et al. "Surface Processing by Gas Cluster Ion Beams." Ion Implant Technology, IEEE, Proceedings of the 11 <sup>th</sup> International Conference on, 16-21 June 1996. Pages 808-811.
Mack, M.E. "Design Issues in Gas Cluster Ion Beamlines." Ion Implant Technology, IEEE, Proceedings of the 14 <sup>th</sup> International Conference on, 22-27 Sept. 2002. Pages 665-668.

EXAMINER	DATE CONSIDERED